EA1131 Primary Analysis Clinical Data Description

This data dictionary is for NCT02445391-D1 dataset.

The data in the spreadsheet are the clinical analysis data for the primary manuscript on EA1131 results, published in

Mayer IA; Zhao F; Arteaga CL; Symmans WF; Park, Ben H; Burnette, Brian L; Tevaarwerk, Amye J; Garcia, Sofia F; Smith, Karen L; Makower, Della F; Block, Margaret; Morley, Kimberly A; Jani, Chirag R; Mescher, Craig; Dewani, Shabana J; Tawfik, Bernard; Flaum, Lisa E; Mayer, Erica L; Sikov, William M; Rodler, Eve T; Wagner, Lynne I; DeMichele, Angela M; Sparano JA; Wolff AC; Miller KD. Randomized Phase III Postoperative Trial of Platinum-Based Chemotherapy Versus Capecitabine in Patients With Residual Triple-Negative Breast Cancer Following Neoadjuvant Chemotherapy: ECOG-ACRIN EA1131. *J Clin Oncol*. 2021 Aug 10;39(23):2539-2551.

The data reflect the study database as of April 7, 2021. All patients who were randomized to one of the three arms were included in the database. Accrual was open to arm A (observation) and arm B (platinum) prior to Amendment #3, and accrual to arm A was permanently closed and accrual to arm C (capecitabine) was opened in Amendment #3.

Data can be used to approximate published study findings, but exact reproduction of previous manuscripts may not be possible in some cases (e.g., when data must be modified for de-identification purposes or have undergone further data cleaning).

Data File Description

| Field | Variable Name | Description | Coding | Note |
|-------|---------------|--------------------|-------------------------------|------------------------------------|
| 1 | | | | De-identified patient ID, not ECOG |
| | uid | Patient identifier | | case ID |
| 2 | | | | Accrual was open to arm A and |
| | | | | arm B prior to activation of |
| | | | | Amendment #3. Accrual to arm A |
| | | | | was permanently closed and |
| | | | 1=enrolled prior to Amendment | accrual to arm C was opened in |
| | | | #3 | Amendment #3. Intrinsic subtype |
| | | | 2=enrolled after Amendment #3 | was removed as a stratification |
| | studyperiod | Enrollment period | 3=enrolled after Amendment #7 | factor in Amendment #7. |

| Field | Variable Name | Description | Coding | Note |
|-------|---------------|--|------------------------------|--------------------------------------|
| 3 | | | | Basal subtype patients who were |
| | | | | randomized to arm B and arm C |
| | | Whether the case was included in | | after Amendment #3 were the |
| | indicator | the primary analysis | 0=no, 1=yes | primary analysis population. |
| 4 | | | | Intrinsic subtype was available for |
| | | | | 401 patients as of the data cutoff |
| | | | 0=non-basal subtype, | date. It was pending for 14 |
| | subtype | Intrinsic subtype | 1=basal subtype | patients. |
| 5 | treated | Received protocol therapy | 0=no, 1=yes | |
| 6 | | | | 391 patients who were |
| | | | | randomized to arms B and C and |
| | | | | started protocol therapy had a |
| | | | | value for this variable. Treatment |
| | | Whether treatment data were | | data were not submitted yet for 7 |
| | trtdata | submitted | 0=no, 1=yes | patients as of the data cutoff date. |
| 7 | | | | Of the 391 patients who started |
| | | | | protocol therapy, adverse events |
| | | | | data were not reported yet as of |
| | | | | the data cutoff date for 9 |
| | | Whether adverse event data were | | patients. In total, 382 patients |
| | aedata | reported | 0=no, 1=yes | had adverse events data. |
| 8 | | Indicator whether patients were | | |
| | | receiving protocol treatment at the | 1=yes, on protocol treatment | |
| | ontrt | data cutoff date | at data cutoff date | |
| 9 | | | | Arm A=observation, |
| | | | | Arm B=platinum, |
| | trtm | Treatment arm | A=Arm A, B=Arm B, C=Arm C | Arm C=capecitabine |
| 10 | agnt_choice | Platinum agent choice | String variable | |
| 11 | elig | Eligibility | 0=no, 1=yes | |
| 12 | var1 | Stratification factor – clinical staging | 1=II, 2=III | |
| 13 | | Stratification factor – residual | | |
| | | cancer burden after neoadjuvant | | |
| | var2 | chemotherapy | 1=1-3cm, 2=>3cm | |
| 14 | | Stratification factor – planned | | |
| | var3 | platinum agent | 1=cisplatin, 2=carboplatin | |

| Field | Variable Name | Description | Coding | Note |
|-------|---------------|---|---|--|
| 15 | | Stratification factor – anthracycline | | |
| | var4 | exposure in the neoadjuvant setting | 1=no, 2=yes | |
| 16 | | Stratification factor – administration | | |
| | var5 | of radiotherapy | 1=no, 2=yes | |
| 17 | var6 | Stratification factor – intrinsic subtype | 1=non-basal, 2=basal | Intrinsic subtype was added as a stratification factor in Amendment #3 and was removed later in Amendment #7. Before Amendment #3 (activated in June 2016), only basal subtype TNBC patients were eligible for the study. After Amendment #7 (activated in November 2020), all patients were eligible as long as they submitted their specimen for PAM50 analysis regardless of the assay results (e.g., assay failure, basal subtype, non-basal subtype). |
| 18 | surv_s | Overall survival (OS) status | 0=censor, 1=died | As of database on 4/7/2021 |
| 19 | surv_m | OS time in months | Continuous variable | As of database on 4/7/2021 |
| 20 | dfs_s | Disease-free survival (DFS) status | 0=censor, 1=DFS event | As of database on 4/7/2021 |
| 21 | dfs_m | DFS time in months | Continuous variable | As of database on 4/7/2021 |
| 22 | rfs_s | Recurrence-free survival (RFS) status | 0=censor, 1=RFS event | As of database on 4/7/2021 |
| 23 | rfs_m | RFS time in months | Continuous variable | As of database on 4/7/2021 |
| 24 | recur | Disease recurrence | 0=no, 1=yes | As of database on 4/7/2021 |
| 25 | recur_dist | Distant recurrence | 0=no, 1=yes | As of database on 4/7/2021 |
| 26 | recur_lr | Locoregional recurrence | 0=no, 1=yes | As of database on 4/7/2021 |
| 27 | age | Age at study entry (years) | Continuous variable | |
| 28 | sex | Sex | String variable | |
| 29 | race | Race | 1=White, 2=Black, 3=Asian, 4=unknown | |

| Field | Variable Name | Description | Coding | Note |
|-------|------------------|---|--|---|
| 30 | ethn | Ethnicity | 1=non-Hispanic, 2=Hispanic, 3=unknown | |
| 31 | ecogps | ECOG performance status | 0=0, 1=1 | |
| 32 | prior_rt_yn | Prior radiation therapy | String variable | |
| 33 | prior_tax_chemo | Prior neoadjuvant taxane | String variable | |
| 34 | prior_anth_chemo | Prior neoadjuvant anthracycline | String variable | |
| 35 | prior_adj_other | Other prior neoadjuvant therapy | String variable | |
| 36 | extnsv_surg | Most extensive surgery | String variable | |
| 37 | surg_rand_gap | Days between surgery and randomization | Integer | |
| 38 | rand_trt_gap | Days between randomization and initiation of protocol treatment | Integer | |
| 39 | histology | Histology of primary cancer | 1=invasive ductal carcinoma, 2=other | |
| 40 | histol_grade | Histologic grade | String variable | |
| 41 | prim_tum_size | Primary tumor size (cm) | Continuous variable | |
| 42 | tstage | T stage | 1=cT1, 2=cT2, 3=cT3, 4=cT4 | |
| 43 | nstage | N stage | 0=cN0, 1=cN1, 2=cN2, 3=cN3, 9=cNX | |
| 44 | resid_ca_brdn | Residual cancer burden in cm | Continuous variable | |
| 45 | ypstage | Pathological yp stage | 1=stage I, 2=stage II, 3=stage III | |
| 46 | ptstage | Pathological T stage | String variable | |
| 47 | ln_involv_yn | Lymph node involvement | String variable | |
| 48 | sentinel_bx_yn | Sentinel node biopsy | String variable | |
| 49 | axil_diss_yn | Axillary node dissection | String variable | |
| 50 | | Total number of cycles of protocol | | 384 patients who started protocol therapy had the value for the variable. Of them, 368 patients had discontinued protocol |
| | totalcycle | treatment | Integer | therapy as of the data cutoff date. |

| Field | Variable Name | Description | Coding | Note |
|-------|---------------|-------------------------------------|-------------------|-------------------------------------|
| 51 | | | | 368 patients started protocol |
| | | | | therapy and had discontinued |
| | | | | therapy as of the data cutoff date. |
| | | | | Other patients either never |
| | | Indictor for whether patient went | | started protocol therapy or were |
| | | off treatment as of the data cutoff | | still on treatment as of the data |
| | offtrt | date | 1=yes | cutoff date. |
| 52 | | | | Only the 368 patients who started |
| | | | | and discontinued protocol |
| | | Reason for discontinuing protocol | | therapy had value for this |
| | off_tx_reas | therapy | String variable | variable. |
| 53 | second | Second primary cancer | 0=no, 1=yes | As of database on 4/7/2021 |
| 54 | | | 2=disease-related | 98 patients who died had value |
| | | | 3=other disease | for this variable. |
| | cod | Cause of death | 99=not known | |
| 55 | | Days between surgery and initiation | | |
| | daygap | of protocol treatment | Integer | |
| 56 | | | | 383 patients who started protocol |
| | | | | therapy on arms B and C and |
| | | Any dose modification during | | reported dose modification data |
| | dose_mod | treatment | String variable | had value for this variable. |
| 57 | | | 1=yes | 77 patients on arm B reported |
| | | Indicator for any dose reduction | | dose reduction and had value for |
| | dosereduce | during treatment | | this variable. |

Notes:

- Unless otherwise specified, blank values indicate missing data.
- In the analysis, months were calculated as days/30.4375