

## **CALGB-40101:**

# **CYCLOPHOSPHAMIDE AND DOXORUBICIN (CA X 4 CYCLES) VERSUS PACLITAXEL (4 CYCLES) AS ADJUVANT THERAPY FOR BREAST CANCER IN WOMEN WITH 0-3 POSITIVE AXILLARY LYMPH NODES: A PHASE III RANDOMIZED STUDY**

**ClinicalTrials.gov Identifier: NCT00041119**

## **Study Background**

**Trial Design:** This study was conducted using a phase III factorial design. The factors are chemotherapy agent and treatment length. Cyclophosphamide plus doxorubicin (CA) is the standard agent; the experimental agent is paclitaxel (T). The standard length is shorter (4 cycles) treatment duration; the experimental length is longer (6 cycles) duration. The trial is designed to test (1) the equivalence of the experimental agent T with the standard agent combination CA; and (2) the superiority of longer versus shorter treatment duration. Patients will be randomized with equal probability to one of the four possible treatment arms.

**Objectives:** Primary:

1. To determine the equivalence of paclitaxel given every two weeks with CA given every two weeks as adjuvant therapy for women with 0-3 positive axillary lymph nodes, for disease-free survival.
2. To determine if longer therapy, 12 weeks, is superior to shorter therapy, 8 weeks, of either CA or paclitaxel for disease-free survival for women with primary breast cancer with 0-3 positive axillary lymph nodes.

Secondary:

- To determine the equivalence of paclitaxel given every two weeks with CA given every two weeks, and the potential superiority of longer vs. shorter therapy, in relation to overall survival, local control (regardless of metastatic status) and time to distant metastases (regardless of local recurrence status).
- To compare toxicities of short and long course CA and paclitaxel as adjuvant therapy for women with 0-3 positive axillary lymph node breast cancer.
- To determine the effect of long and short course CA and paclitaxel on the induction of menopause for pre-menopausal patients.

**Stratification**

**Factors:**

- Menopausal status (pre vs. post)
- ER/PgR status (either positive or unknown vs both negative)
- HER-2 status (positive, negative or unknown)

**Study**

06/27/2002    Activation Date

**History:**

07/30/2010    Close Date

## **Publication Information**

**Analysis Type:** Primary Endpoint #2: To determine if longer therapy, 12 weeks, is superior to shorter therapy, 8 weeks, of either CA or paclitaxel for disease-free survival for women with primary breast cancer with 0-3 positive axillary lymph nodes.

**PubMed ID:** 22826271

**Citation:** Shulman LN, Cirrincione CT, Berry DA, Becker HP, Perez EA, O'Regan R, Martino S, Atkins JN, Mayer E, Schneider CJ, Kimmick G, Norton L, Muss H, Winer EP, Hudis C. Six cycles of doxorubicin and cyclophosphamide or Paclitaxel are not superior to four cycles as adjuvant chemotherapy for breast cancer in women with zero to three positive axillary nodes: Cancer and Leukemia Group B 40101. J Clin Oncol. 2012 Nov 20;30(33):4071-6. doi: 10.1200/JCO.2011.40.6405

**Associated Datasets:** NCT00041119\_D1\_(FactorA\_Patients)  
NCT00041119\_D2\_(FactorA\_AE)

## **Dataset Information**

**Dataset Name:** NCT00041119\_D1\_(FactorA\_Patients)

**Description:** The NCT00041119\_D1\_(FactorA\_Patients) dataset is one of 2 datasets associated with PubMed ID 22826271. This dataset contains de-identified patient-level information. The information includes baseline characteristics, eligibility, and endpoint data.

## NCT00041119 D1 (FactorA Patients) Data Dictionary

Variable description	Variable name	Codes	Notes
Identifier	mask_id		CALGB patient ID
Status ID	SSTAT	7=Alive 8=Dead 9=Lost 65=Withdrawn Consent to follow for survival	
Ethnicity	Ethnic_ID	1= Hispanic or Latino 2=Non-Hispanic 9=Unknown	
Status ID	scase	10=On Study 11=Off Study 13=Lost 66=Withdrawn consent to follow for clinical status	
Group	GROUP_ID	1=Alliance for Clinical Trials in Oncology 37=Cancer Trials Support Unit	
Treatment Assigned	TREAT_ASSIGNED	1=Arm 1: CA x 4 2=Arm 2: CA x 6 3=Arm 3: Paclitaxel x 12 4=Arm 4: Paclitaxel x 18 5=CA x 4 q 14 days 6=CA x 6 q 14 days 7=Paclitaxel x 4 q 14 days 8=Paclitaxel x 6 q 14 days	
Race	RACE_ID	1=White 3=Black or African American 4=Asian 5= Native Hawaiian or Pacific Islander or American Indian or Alaska Native 99=Unknown	
Survival status	survstat	0=Alive 1=Dead	
Eligibility	elig	1=ineligible 2=eligible -1=pending	
Menopause status	stra1	1=pre-menopause 2=post-menopause	
Receptor status	stra2	1=recep+,unk 2=recep-	
Treatment assigned	indrx	1=CA-4 2=CA-6 3=T-4 4=T-6	

Variable description	Variable name	Codes	Notes
Tumor laterality	OH002	1=left 2=right 3=bilateral	
Receptor Status ER	OH003	1=Negative 2=Positive	
Receptor Status PgR	OH004	1=Negative 2=Positive	
Histologic grade	OH005	1=Low 2=Intermediate 3=High	
HER-2 neu status	OH006	1=Dako Herceptest 3+ 2=FISH+ (IHC not done) 3=Dako Herceptest <3+/FISH+ 4=IHC (non-Dako Herceptest) stro 5=Unknown 6=Negative 7=Not done	
Prior hormonal therapy	OH011	1=no 2=yes	
HT Tamoxifen	OH012	1=no 2=yes	HT = prior hormonal therapy
HT Raloxifen	OH013	1=no 2=yes	HT = prior hormonal therapy
HT Other	OH014	1=no 2=yes	HT = prior hormonal therapy
Prior adjuvant chemo	OH016	1=no 2=yes	
Type biopsy	OH027	1=Core needle 2=Incisional 3=Excisional	
Most extensive primary surgery	OH028	1=Partial mastectomy/lumpectomy/ 2=Mastectomy, NOS	
Sentinel node biopsy	OH032	1=no 2=yes	
Sentinel node biopsy results	OH036	1=negative 2=positive	
Axillary dissection performed	OH037	1=no 2=yes	
Number of positive axillary nodes	num_pos_nodes		
Tumor Size	tsize	1=less than 2cm 2=between 2 and 5cm 3=greater than 5cm	Maximum diameter of the invasive component; if multiple lesions, use longest lesion. Measured in cm
Survival Months	survmos		
Disease Free Survival Months	dfsmos		
Cause of death	cod	0=Alive	

Variable description	Variable name	Codes	Notes
		1=Due to protocol treatment/Other cause/Unknown 2=Due to this disease	
Age category	agecat	1=20<=ageatent<30 2=30<=ageatent<40 3=40<=ageatent<50 4=50<=ageatent<60 5=60<=ageatent<70 6=70<=ageatent	Ageatent=age at end of active treatment
Amendment	preamend	1="preamend" 0="postamend"	Referring to amendment where 6 cycle arms were closed.
Recurrence/Progression Event	event	1=local only 2=distant only 3=local+distant concurrently 4=death, without recurrence/progression	
Disease Free Survival Stat	dfsstat	0=no event 1=event	
Agent	agent	0=CA 1=T	
Length of treatment	length	0=4 cycles 1=6 cycles	
Receptor	receptor	0=both er and pgr negative 1=either er or pgr positive	